

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN

Docket No.
0118-00101

Serial No.

09/827,764

Filing Date

April 6, 2001

Patent No.

Issue Date

Applicant/ Patentee: Gang Liu

Invention: LASER DRIVER FOR LASER SENSING SYSTEM

I hereby declare that I am:

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Analog Technologies, Inc.

ADDRESS OF CONCERN: 23399 Commerce Drive, Ste. B4, Farmington Hills, MI 48335

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: _____

Gang Liu

TITLE OF PERSON SIGNING _____

OTHER THAN OWNER: _____


President

ADDRESS OF PERSON SIGNING: _____

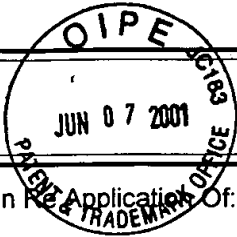
41811 Ridge Road East

Novi, MI 48375

SIGNATURE: _____

 5/2/01

DATE: _____



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
0118-00101

In Re Application Of: Gang Liu

Serial No.
09/827,764

Filing Date
April 6, 2001

Examiner

Group Art Unit
2874

Title: Laser Driver For A Laser Sensing System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response To Notice To File Missing Parts
Declaration and Power of Attorney
Verified Statement Claiming Small Entity

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$65.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1131 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated:

Robert A. Dunn (Reg. No. 30,556)
Dinnin & Dunn, P.C.
755 West Big Beaver Rd.
Troy, Michigan 48084

I certify that this document and fee is being deposited
June 6, 2001 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Lisa Steward

Typed or Printed Name of Person Mailing Correspondence

CC:

Me 06-8-01 #3 \$ Sector

CERTIFICATE OF MAILING BY EXPRESS MAIL" (37 CFR 1.10)		Docket No. 0118-00101	
Applicant(s): Gang Liu			
Serial No. 09/827,764	Filing Date April 6, 2001	Examiner	Group Art Unit 2874

Invention: Laser Driver For A Laser Sensing System

I hereby certify that the following correspondence:

Transmittal Letter, Declaration and Power of Attorney, Verified Statement Claiming Small Entity, Response To File Missing Parts and a Check for \$65.00 check no. 14914

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

June 6, 2001

(Date)

Lisa Steward

(Typed or Printed Name of Person Mailing Correspondence)

Lisa Steward

(Signature of Person Mailing Correspondence)

EL814469952US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
0118-00101

In Re Application Of: Gang Liu

Serial No.
09/827,764

Filing Date
April 6, 2001

Examiner

Group Art Unit
2874

Invention: Laser Driver For A Laser Sensing System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 1, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ _____ verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
0118-00101

In Re Application Of: **Gang Liu**

Serial No.
09/827,764

Filing Date
April 6, 2001

Examiner

Group Art Unit
2874

Invention: **Laser Driver For A Laser Sensing System**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1131**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1131**
A duplicate copy of this sheet is enclosed.

Dated:

Signature

**Robert A. Dunn (Reg. No. 30,556)
Dinnin & Dunn, P.C.
755 West Big Beaver Rd.
Troy, Michigan 48084**

I certify that this document and fee is being deposited
on **June 7, 2001** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Lisa Steward

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
0118-00101

In Re Application Of: Gang Liu

Serial No.
09/827,764

Filing Date
April 6, 2001

Examiner

Group Art Unit
2874

Invention: Laser Driver For A Laser Sensing System

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$65.00**